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# Reconstruction of higher-stage first-class constraints into the secondary ones 

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#### Abstract

Starting from a Lagrangian theory $L$ with first class constraints up to $N$ th stage, we construct an equivalent Lagrangian $\tilde{L}$ with at most secondary first-class constraints presented in a Hamiltonian formulation. The Lagrangian $\tilde{L}$ can be obtained by pure algebraic methods, its manifest form in terms of quantities of the initial formulation is found. Local symmetries of $\tilde{L}$ are found in a closed form. All the constraints of $L$ turn out to be gauge symmetry generators for $\tilde{L}$.


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## 1. Introduction

The singular Lagrangian theory generally has a complicated structure of Lagrangian equations, which may consist of both second- and first-order differential equations as well as algebraic ones. Besides, when some local symmetries are present, there may be identities among the equations that imply functional arbitrariness in the corresponding solutions. It should be mentioned that, in the modern formulation, popular field theories (electrodynamics, gauge field theories, the standard model, string theory, etc.) are of this type. An analysis of the singular theory is usually carried out according to the Dirac procedure [1, 2] in a Hamiltonian formalism. This gives a somewhat clearer geometric picture of the classical dynamics: all the solutions are restricted to lie on some surface in the phase space (determined by Dirac constraints), while the above mentioned arbitrariness is removed by postulating classes of equivalent trajectories. Physical quantities are then represented by functions defined on the classes.

The basic object of the Hamiltonian formulation turns out to be the complete Hamiltonian $H=H_{0}+v^{\alpha} \Phi_{\alpha}$ that is uniquely constructed from the initial Lagrangian $L$ (see the next section
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for details). Here $H_{0}$ is the Hamiltonian, $v^{\alpha}$ represents primarily inexpressible velocities [2] and $\Phi_{\alpha}$ are the primary constraints. The application of the Dirac procedure can reveal higherstage constraints denoted as $T_{a}$. In this work the constraints are assumed to be first class, but they can be of arbitrary order: the constraints of the order $N$ arise after imposing the time preservation of constraints of the order $N-1$.

A further analysis of the constrained system can be carried out in the so-called formalism of the extended Hamiltonian. The latter is obtained from the complete Hamiltonian by addition of all the higher-stage constraints with corresponding multipliers: $H_{\mathrm{ext}} \equiv H+\lambda^{a} T_{a}$. Hamiltonian equations, corresponding to $H_{\mathrm{ext}}$, involve derivatives of $T_{a}$ and hence are different from the equations obtained from $H$. Nevertheless, it can be proved that the two systems are equivalent. The equivalence can be easily demonstrated for the case of second-class constraints (see section 2.3 in [2]), whereas the case of first-class constraints requires a rather detailed analysis in terms of canonical variables (section 2.6 in [2]).

Due to a special structure of $H_{\text {ext }}$ (all the constraints enter into $H_{\text {ext }}$ in the manifest form), the extended formulation proves to be a very useful tool for the analysis of both the general structure [2] and local symmetries [3] of the singular theory. At the same time, the origin of the extended Hamiltonian and its relation to the complete one remain somewhat mysterious. In particular, $H_{\text {ext }}$ cannot be treated as the complete Hamiltonian of some Lagrangian theory (see section 3 for details), so the multipliers $v^{a}$ have no proper interpretation.

In the first part of the present work, we clarify the relation among the formulations of a constrained system in terms of the complete and the extended Hamiltonians. Our suggestion is to improve the extended formalism by replacing $H_{\text {ext }}$ with an improved extended Hamiltonian $\tilde{H}$ (see equation (13) below). It has the same structure as $H_{\text {ext }}$ (all the constraints enter into $\tilde{H}$ in the manifest form), but, in contrast to $H_{\text {ext }}$, it arises as the complete Hamiltonian for some Lagrangian $\tilde{L}$, called the extended Lagrangian. This implies, in particular, that all the quantities appearing in $\tilde{H}$ have a clear meaning in the Dirac framework. The extended Lagrangian $\tilde{L}$ is obtained in a closed form in terms of quantities of the initial formulation (see equation (22) below). The formulations with $L$ and $\tilde{L}$ turn out to be equivalent, which implies a clear relation among the initial and the improved formulations in the Lagrangian framework. Due to the equivalence, it is a matter of convenience which formulation is to be used for description of the theory under consideration ${ }^{2}$.

In the second part of this work, we discuss Lagrangian local symmetries. The Dirac procedure, being applied to the extended Lagrangian $\tilde{L}$, stops at the second stage. That is $\tilde{L}$ represents the formulation with at most secondary constraints. It allows one to find a complete irreducible set of local symmetries of $\tilde{L}$, which turns out to be much easier task then those for $L$. The reason is as follows. Appearance of some $N$ th-stage first-class constraint in the Hamiltonian formulation for $L$ implies [3, 5, 7] that $L$ has the local symmetry of ${ }^{(N-1)} \epsilon$-type

$$
\begin{equation*}
\delta q=\epsilon R^{(0)}+\dot{\epsilon} R^{(1)}+\ddot{\epsilon} R^{(2)}+\cdots+{ }_{\epsilon}^{(N-1)} R^{(N-1)} . \tag{1}
\end{equation*}
$$

Here $\stackrel{(k)}{\epsilon} \equiv \frac{\mathrm{d}^{k} \epsilon}{\mathrm{~d} \tau^{k}}, \epsilon(\tau)$ is the local symmetry parameter and the set $R^{(k)}(q, \dot{q}, \ldots)$ represents gauge generator. Replacing $L$ with $\tilde{L}$, one deal with the formulation with at most second-stage constraints. So, the symmetry (1) of $L$ 'decomposes' into $N$ simple $\dot{\epsilon}$-type symmetries of $\tilde{L}$

$$
\begin{equation*}
\delta q=\tilde{\epsilon}^{I} R_{I}^{(0)}+\dot{\epsilon}^{I} R_{I}^{(1)}, \quad I=1,2, \ldots, N \tag{2}
\end{equation*}
$$

Technically, our procedure here is based on the works in [4, 3]. In [4], it was observed that symmetries of $H_{\text {ext }}$ can be written in a closed form. In [3], this observation was
${ }^{2}$ Let us also point out that higher-stage constraints usually appear in a covariant form. One therefore expects manifest covariance of the extended formulation.
used to formulate a procedure for restoration of symmetries of the corresponding complete Hamiltonian action ${ }^{3}$. For our case, the symmetries of $\tilde{H}$ can be immediately written down as it has the structure similar to that of $H_{\text {ext }}$. By a direct computation, we then demonstrate that the Lagrangian counterparts of the symmetry transformations leave the extended Lagrangian invariant.

This work is organized as follows. With the aim to fix our notations, we describe in section 2 the Hamiltonization procedure for a Lagrangian theory with first class constraints up to the $N$ th stage. In section 3 , we introduce the improved extended Hamiltonian and obtain the underlying Lagrangian. We then demonstrate the equivalence of the initial and the extended Lagrangian formulations. In section 4, we demonstrate one of the advantages of the extended formulation by finding its complete irreducible set of local symmetries. The procedure is illustrated with an example of a model with fourth-stage constraints.

## 2. Initial formulation with higher-stage constraints

Let $L\left(q^{A}, \dot{q}^{B}\right)$ be the Lagrangian of a singular theory $\operatorname{rank} \frac{\partial^{2} L}{\partial \dot{q}^{A} \partial \dot{q}^{B}}=[i]<[A]$, defined on the configuration space $q^{A}, A=1,2, \ldots,[A]$. From the beginning, it is convenient to rearrange the initial variables in such a way that the rank minor is placed in the upper left corner. Then one has $q^{A}=\left(q^{i}, q^{\alpha}\right), i=1,2, \ldots,[i], \alpha=1,2, \ldots,[\alpha]=[A]-[i]$, where $\operatorname{det} \frac{\partial^{2} L}{\partial \dot{q}^{i} \dot{\partial} \dot{q}^{j}} \neq 0$.

Let us construct the Hamiltonian formulation for the theory. To fix our notations, we carry out the Hamiltonization procedure in some details. One introduces conjugate momenta according to the equations $p_{A}=\frac{\partial L}{\partial \dot{q}^{A}}$, or

$$
\begin{align*}
& p_{i}=\frac{\partial L}{\partial \dot{q}^{i}},  \tag{3}\\
& p_{\alpha}=\frac{\partial L}{\partial \dot{q}^{\alpha}} . \tag{4}
\end{align*}
$$

They are considered as algebraic equations for determining velocities $\dot{q}^{A}$. According to the rank condition, [i] equations (3) can be resolved with respect to $\dot{q}^{i}$. Let us denote the solution as

$$
\begin{equation*}
\dot{q}^{i}=v^{i}\left(q^{A}, p_{j}, \dot{q}^{\alpha}\right) \tag{5}
\end{equation*}
$$

It can be substituted into remaining $[\alpha]$ equations for the momenta (4). By construction, the resulting expressions do not depend on $\dot{q}^{A}$ and are called primary constraints $\Phi_{\alpha}(q, p)$ of the Hamiltonian formulation. One finds

$$
\begin{equation*}
\Phi_{\alpha} \equiv p_{\alpha}-f_{\alpha}\left(q^{A}, p_{j}\right)=0 \tag{6}
\end{equation*}
$$

where

$$
\begin{equation*}
\left.f_{\alpha}\left(q^{A}, p_{j}\right) \equiv \frac{\partial L}{\partial \dot{q}^{\alpha}}\right|_{\dot{q}^{i}=v^{i}\left(q^{A}, p_{j}, \dot{q}^{\alpha}\right)} \tag{7}
\end{equation*}
$$

Equations (3) and (4) are thus equivalent to the system (5) and (6).
Next step of the Hamiltonian procedure is to introduce an extended phase-space parameterized by the coordinates $q^{A}, p_{A}, v^{\alpha}$, and to define a complete Hamiltonian $H$
${ }^{3}$ While the algorithm suggested is relatively simple, some of its points remain unclarified. In particular, the completeness and irreducibility of the symmetries of the complete Hamiltonian were not demonstrated so far [5]. The Lagrangian symmetries have not been discussed. We also point out that the analysis of a general case (when both first and second class constraints are present) turns out to be a much more complicated issue [5-9].
according to the rule

$$
\begin{equation*}
H\left(q^{A}, p_{A}, v^{\alpha}\right)=H_{0}\left(q^{A}, p_{j}\right)+v^{\alpha} \Phi_{\alpha}\left(q^{A}, p_{j}, p_{\alpha}\right) \tag{8}
\end{equation*}
$$

where

$$
\begin{equation*}
H_{0}=\left.\left(p_{i} \dot{q}^{i}-L+\dot{q}^{\alpha} \frac{\partial L}{\partial \dot{q}^{\alpha}}\right)\right|_{\dot{q}^{i} \rightarrow v^{i}\left(q^{A}, p_{j}, \dot{q}^{\alpha}\right)} \tag{9}
\end{equation*}
$$

Then the following system of equations on this space,

$$
\begin{equation*}
\dot{q}^{A}=\left\{q^{A}, H\right\}, \quad \dot{p}_{A}=\left\{p_{A}, H\right\}, \quad \Phi_{\alpha}\left(q^{A}, p_{B}\right)=0 \tag{10}
\end{equation*}
$$

is equivalent to the Lagrangian equations following from $L$, see [2]. Here $\{$,$\} denotes the$ Poisson bracket. Let us point that equations for $\dot{q}^{i}$ of the system (10) coincide, modulo notations, with equation (5), where $\dot{q}^{\alpha}$ are replaced by $v^{\alpha}$ (see [10] for more details). This fact will be used in the next section.

It may happen that the system (10) contains in reality more then $[\alpha]$ algebraic equations. Actually, the derivative of the primary constraints with respect to time implies the so-called second-stage equations as algebraic consequences of the system (10): $\left\{\Phi_{\alpha}, H\right\}=0$. Let us suppose that on shell these expressions do not involve the Lagrangian multipliers $v^{\alpha}$. Functionally independent equations of the system, if any, represent then secondary Dirac constraints $\Phi_{\alpha_{2}}^{(2)}\left(q^{A}, p_{j}\right)=0$. They may imply third-stage constraints, and so on. We suppose that the theory has constraints up to $N$ th stage, $N \geqslant 2$. Higher-stage constraints (that is those of the second stage, third stage, $\ldots$ ) are denoted collectively by $T_{a}\left(q^{A}, p_{j}\right)=0$. Then the complete system of constraints is $G_{I} \equiv\left(\Phi_{\alpha}, T_{a}\right)$. In this work we restrict ourselves to the case of a theory with first class constraints only

$$
\begin{equation*}
\left\{G_{I}, G_{J}\right\}=c_{I J}^{K}\left(q^{A}, p_{j}\right) G_{K}, \quad\left\{G_{I}, H_{0}\right\}=b_{I}^{J}\left(q^{A}, p_{j}\right) G_{J} \tag{11}
\end{equation*}
$$

where $c$ and $b$ are the phase-space functions. Since the quantities on the left-hand side of these equations are at most linear in $p_{\alpha}$, one has $c_{I J}{ }^{\alpha}=0, b_{I}{ }^{\alpha}=0$.

## 3. Reconstruction of higher-stage constraints into at most secondary ones

As it was mentioned in section 1, the extended Hamiltonian
$H_{\mathrm{ext}}\left(q^{A}, p_{A}, v^{\alpha}, \lambda^{a}\right)=H_{0}\left(q^{A}, p_{j}\right)+v^{\alpha} \Phi_{\alpha}\left(q^{A}, p_{j}, p_{\alpha}\right)+\lambda^{a} T_{a}\left(q^{A}, p_{j}\right)$,
cannot be obtained as the complete Hamiltonian for some Lagrangian. Actually, $T_{a}$ can be chosen in a form resolved with respect to the momenta $p_{a}: T_{a}=p_{a}-t_{a}\left(q^{A}, p^{\prime}\right)$. Then it is clear that equation (12) does not have the desired form (8), since $H_{0}$ from (12) generally depends on $p_{a}$. To improve this, let us introduce the following quantity,

$$
\begin{equation*}
\tilde{H}\left(q^{A}, \tilde{p}_{A}, s^{a}, \pi_{a}, v^{\alpha}, v^{a}\right)=\tilde{H}_{0}\left(q^{A}, \tilde{p}_{j}, s^{a}\right)+v^{\alpha} \Phi_{\alpha}\left(q^{A}, \tilde{p}_{B}\right)+v^{a} \pi_{a} \tag{13}
\end{equation*}
$$

defined on the space parameterized by the coordinates $q^{A}, \tilde{p}_{A}, s^{a}, \pi_{a}, v^{\alpha}, v^{a}$. In equation (13) it was denoted

$$
\begin{equation*}
\tilde{H}_{0}=H_{0}\left(q^{A}, \tilde{p}_{j}\right)+s^{a} T_{a}\left(q^{A}, \tilde{p}_{j}\right) \tag{14}
\end{equation*}
$$

The functions $\Phi_{\alpha}, H_{0}, T_{a}$ are taken from the initial formulation. The improved Hamiltonian (13) has the desired structure and can be obtained as the complete Hamiltonian of some Lagrangian $\tilde{L}\left(q^{A}, \dot{q}^{A}, s^{a}\right)$ defined on a configuration space with the coordinates $q^{A}, s^{a}$. As it will be shown below, the quantity $\tilde{H}_{0}$ turns out to be the corresponding Hamiltonian, while the equations $\Phi_{\alpha}=0, \pi_{a}=0\left(\pi_{a}\right.$ are conjugate momenta for $\left.s^{a}\right)$ represent the primary constraints. Due to the special form of the Hamiltonian (14), the preservation in time of the
primary constraints implies that all the higher-stage constraints $T_{a}$ of the initial theory appear as secondary constraints for the theory $\tilde{L}$. The Dirac procedure for $\tilde{L}$ stops at the second stage. Besides, we demonstrate that the formulations $L$ and $\tilde{L}$ are equivalent.

Let us start from construction of the extended Lagrangian. One writes the equations

$$
\begin{equation*}
\dot{q}^{i}=\frac{\partial \tilde{H}}{\partial \tilde{p}_{i}}=\frac{\partial H_{0}}{\partial \tilde{p}_{i}}+s^{a} \frac{\partial T_{a}}{\partial \tilde{p}_{i}}-v^{\alpha} \frac{\partial f_{\alpha}}{\partial \tilde{p}_{i}} . \tag{15}
\end{equation*}
$$

They can be resolved algebraically with respect to $\tilde{p}_{i}$ in the neighborhood of the point $s^{a}=0$. Actually, equation (15) with $s^{a}=0$ is $\dot{q}^{i}=\frac{\partial H}{\partial \tilde{p}_{i}}$ that is the equation $\dot{q}^{i}=v^{i}\left(q^{A}, p_{j}, \dot{q}^{\alpha}\right)$ of the initial theory. Its solution exists and is written in equation (3). Hence $\operatorname{det} \frac{\partial^{2} \tilde{Q}}{\partial \tilde{p}_{\partial} \partial \tilde{p}_{j}} \neq 0$ at the point $s^{a}=0$. Then the same is true in some vicinity of this point, and equation (15) thus can be resolved. Let us denote the solution as

$$
\begin{equation*}
\tilde{p}_{i}=\omega_{i}\left(q^{A}, \dot{q}^{i}, v^{\alpha}, s^{a}\right) \tag{16}
\end{equation*}
$$

By construction, one has the identities

$$
\begin{equation*}
\left.\omega_{i}\right|_{\tilde{q}^{i}=\frac{\partial \tilde{H}}{\partial \bar{p}_{i}}} \equiv \tilde{p}_{i},\left.\quad \frac{\partial \tilde{H}}{\partial \tilde{p}_{i}}\right|_{\tilde{p}_{i}=\omega_{i}} \equiv \dot{q}^{i}, \tag{17}
\end{equation*}
$$

as well as the following property of $\omega$

$$
\begin{equation*}
\left.\omega_{i}\left(q^{A}, \dot{q}^{i}, v^{\alpha}, s^{a}\right)\right|_{s^{a}=0, v^{\alpha} \rightarrow \dot{q}^{\alpha}}=\frac{\partial L}{\partial \dot{q}^{i}} . \tag{18}
\end{equation*}
$$

Below we use the notation

$$
\begin{equation*}
\left.\omega_{i}\left(q^{A}, \dot{q}^{i}, v^{\alpha}, s^{a}\right)\right|_{v^{\alpha} \rightarrow \dot{q}^{\alpha}} \equiv \omega_{i}(q, \dot{q}, s) \tag{19}
\end{equation*}
$$

Now, on the configuration space parameterized by $q^{A}, s^{a}$, we define the extended Lagrangian according to the rule
$\tilde{L}\left(q^{A}, \dot{q}^{A}, s^{a}\right)=\left.\left(\omega_{i} \dot{q}^{i}+f_{\alpha}\left(q^{A}, \omega_{j}\right) \dot{q}^{\alpha}-H_{0}\left(q^{A}, \omega_{j}\right)-s^{a} T_{a}\left(q^{A}, \omega_{j}\right)\right)\right|_{\omega(q, \dot{q}, s)}$.
As compared with the initial Lagrangian, $\tilde{L}$ involves the new variables $s^{a}$, in a number equal to the number of higher-stage constraints $T_{a}$. Considering $\tilde{L}$ as a function of $\omega$, one finds $\left.\frac{\partial \tilde{L}}{\partial \omega_{i}}\right|_{\omega(q, \dot{q}, s)}=\left.\left(\dot{q}^{i}-\frac{\partial \tilde{H}}{\partial \tilde{p}_{i}}\right)\right|_{\tilde{p}=\omega(q, \dot{q}, s)}=0$, according to identity (17). Thus the new Lagrangian obeys the property

$$
\begin{equation*}
\left.\frac{\partial \tilde{L}}{\partial \omega_{i}}\right|_{\omega(q, \dot{q}, s)}=0 \tag{21}
\end{equation*}
$$

Note also that the Lagrangian counterparts $T_{a}(q, \omega)$ of the higher-stage constraints enter into the extended Lagrangian in a manifest form. These properties will be crucial for the discussion of local symmetries in the next section.

Using equation (9), $\tilde{L}$ can be written also in terms of the initial Lagrangian
$\tilde{L}\left(q^{A}, \dot{q}^{A}, s^{a}\right)=L\left(q^{A}, v^{i}\left(q^{A}, \omega_{j}, \dot{q}^{\alpha}\right), \dot{q}^{\alpha}\right)+\omega_{i}\left(\dot{q}^{i}-v^{i}\left(q^{A}, \omega_{j}, \dot{q}^{\alpha}\right)\right)-s^{a} T_{a}\left(q^{A}, \omega_{i}\right)$,
where the functions $v^{i}, \omega_{i}(q, \dot{q}, s)$ are given by equations (5), (19).
Following the standard prescription [1, 2], let us construct the Hamiltonian formulation for $\tilde{L}$. Using equation (20), one finds conjugate momenta for $q^{A}, s^{a}$,
$\tilde{p}_{i}=\frac{\partial \tilde{L}}{\partial \dot{q}^{i}}=\omega_{i}\left(q^{A}, \dot{q}^{A}, s^{a}\right), \quad \quad \tilde{p}_{\alpha}=\frac{\partial \tilde{L}}{\partial \dot{q}^{\alpha}}=f_{\alpha}\left(q^{A}, \omega_{j}\right), \quad \pi_{a}=\frac{\partial \tilde{L}}{\partial \dot{s}^{a}}=0$.
Due to the identities (17), these expressions can be rewritten in the equivalent form

$$
\begin{equation*}
\dot{q}^{i}=\frac{\partial \tilde{H}}{\partial \tilde{p}_{i}}, \quad \tilde{p}_{\alpha}=f_{\alpha}\left(q^{A}, \tilde{p}_{j}\right), \quad \pi_{a}=0 \tag{24}
\end{equation*}
$$

Thus the velocities $\dot{q}^{i}$ have been determined, while as the primary constraints there appear $\pi_{a}=0$ and the primary constraints $\Phi_{\alpha}=0$ of the initial theory. One finds the Hamiltonian $\tilde{H}_{0}$,

$$
\begin{equation*}
\tilde{H}_{0}=\tilde{p}_{A} \dot{q}^{A}+\pi_{a} \dot{s}^{a}-\tilde{L}=H_{0}+s^{a} T_{a}\left(q^{A}, \tilde{p}_{j}\right) \tag{25}
\end{equation*}
$$

so the complete Hamiltonian $\tilde{H}$ is given by equation (13). Further, the preservation in time of the primary constraints $\pi_{a}=0$ implies the equation $T_{a}=0$. Hence all the higher-stage constraints of the initial formulation appear now as the secondary constraints. Preservation in time of the primary constraints $\Phi_{\alpha}$ leads to the equations $\left\{\Phi_{\alpha}, \tilde{H}\right\}=\left\{\Phi_{\alpha}, H\right\}+s^{a} c_{\alpha a}{ }^{I} G_{I} \approx$ $\left\{\Phi_{\alpha}, H\right\}=0$, that is to the second-stage equations of the initial formulation. Hence, as before, they imply the secondary constraints $\Phi_{\alpha_{2}}^{(2)}=0$; the latter appeared already as a part of the set $T_{a}=0$. The Dirac procedure stops at the second stage. Owing to the structure of the gauge algebra (11), there are neither higher-stage constraints nor equations for determining the multipliers $v^{\alpha}, v^{a}$.

Let us compare the theories $\tilde{L}$ and $L$. The dynamics of the theory $\tilde{L}$ is governed by the Hamiltonian equations,

$$
\begin{array}{ll}
\dot{q}^{A}=\left\{q^{A}, H\right\}+s^{a}\left\{q^{A}, T_{a}\right\}, & \dot{\tilde{p}}_{A}=\left\{\tilde{p}_{A}, H\right\}+s^{a}\left\{\tilde{p}_{A}, T_{a}\right\}, \\
\dot{s}^{a}=v^{a}, & \dot{\pi}_{a}=0, \tag{26}
\end{array}
$$

as well as by the constraints,

$$
\begin{equation*}
\pi_{a}=0, \quad \Phi_{\alpha}=0, \quad T_{a}=0 \tag{27}
\end{equation*}
$$

Here $H$ is the complete Hamiltonian of the initial theory (8), and the Poisson bracket is defined on the phase space $q^{A}, s^{a}, p_{A}, \pi_{a}$. Let us make a partial fixation of the gauge by imposing the equations $s^{a}=0$ as gauge conditions for the constraints $\pi_{a}=0$. Then $\left(s^{a}, \pi_{a}\right)$-sector of the theory disappears, while the remaining equations in (26) and (27) coincide with those of the initial theory ${ }^{4} L$. Let us remind that $\tilde{L}$ has been constructed in some vicinity of the point $s^{a}=0$. Admissibility of the gauge $s^{a}=0$ then guarantees a self consistency of the construction. Thus $L$ represents one of the gauges for $\tilde{L}$, which proves equivalence of the two formulations.

## 4. Restoration of Lagrangian local symmetries from known Hamiltonian constraints

The search for a constructive and simple method of finding all the local symmetries of a given Lagrangian action is an interesting problem under investigation [5-9]. Here we demonstrate one of the advantages of the extended Lagrangian formulation developed in the previous section: it is much easier to find local symmetries of $\tilde{L}$ than those of $L$. The extended Lagrangian $\tilde{L}$ implies $[\alpha]+[a]$ primary first class constraints, so one expects the same number of independent local symmetries in this formulation. The symmetries can be easily found in an explicit form in terms of the constraints $G_{I}$ of the initial formulation.
Symmetries of Hamiltonian action. We start from discussion of local symmetries for the Hamiltonian action, which corresponds to $\tilde{L}$

$$
\begin{align*}
& S_{\tilde{H} \tilde{L}}=\int \mathrm{d} \tau\left(\tilde{p}_{A} \dot{q}^{A}+\pi_{a} \dot{s}^{a}-\tilde{H}\right)=\int \mathrm{d} \tau\left(\tilde{p}_{A} \dot{q}^{A}+\pi_{a} \dot{s}^{a}-H_{0}\left(q^{A}, \tilde{p}_{j}\right)-s^{a} T_{a}\left(q^{A}, \tilde{p}_{j}\right)\right. \\
& \left.\quad-v^{\alpha} \Phi_{\alpha}\left(q^{A}, \tilde{p}_{B}\right)-v^{a} \pi_{a}\right) \tag{28}
\end{align*}
$$

4 In a more rigorous treatment, one writes Dirac brackets corresponding to the equations $\pi_{a}=0, s^{a}=0$. Then the latter can be used before the computation of the brackets, that is the variables $s^{a}, \pi_{a}$ can be omitted. For the remaining phase-space variables $q^{A}, p_{A}$, the Dirac bracket coincides with the Poisson one.

Let us consider variation of $S_{\tilde{H} \tilde{L}}$ under infinitesimal transformation $\delta_{I} q^{A}=\epsilon^{I}\left\{q^{A}, G_{I}\right\}$, $\delta_{I} \tilde{p}_{A}=\epsilon^{I}\left\{\tilde{p}_{A}, G_{I}\right\}$, where $\epsilon^{I}$ are the parameters, and $I$ stands for any fixed $\alpha$ or $a$. It implies (modulo to total derivative terms which we omit in subsequent computations) $\delta\left(\tilde{p}_{A} \dot{q}^{A}\right)=\dot{\epsilon}^{I} G_{I}$ and $\delta A(q, p)=\epsilon^{I}\left\{A, G_{I}\right\}$ for any function $A(q, p)$. Owing to these relations, variation of $S_{\tilde{H} \tilde{L}}$ is proportional to $\Phi_{\alpha}, T_{a}$, so it can be canceled by appropriate transformation of $v^{\alpha}, s^{a}$. In turn, the transformation of $s^{a}$ implies $\delta\left(\pi_{a} \dot{s}^{a}\right)=\pi_{a}\left(\delta s^{a}\right)$, which can be canceled by variation of $v^{a}: \delta v^{a}=\left(\delta s^{a}\right)^{.}$. Direct computations show that the following transformations ${ }^{5}$,

$$
\begin{align*}
& \delta_{I} q^{A}=\epsilon^{I}\left\{q^{A}, G_{I}\right\}, \quad \delta_{I} \tilde{p}_{A}=\epsilon^{I}\left\{\tilde{p}_{A}, G_{I}\right\}, \\
& \delta_{I} s^{a}=\dot{\epsilon}^{a} \delta_{a I}+\epsilon^{I} b_{I}^{a}-s^{b} \epsilon^{I} c_{b I}^{a}-v^{\beta} \epsilon^{I} c_{\beta I}^{a}, \quad \delta_{I} \pi_{a}=0,  \tag{29}\\
& \delta_{I} v^{\alpha}=\dot{\epsilon}^{\alpha} \delta_{\alpha I}, \quad \delta_{I} v^{a}=\left(\delta_{I} s^{a}\right) .
\end{align*}
$$

leave invariant, modulo to a surface term, the Hamiltonian action (28). Here $b$ and $c$ are structure functions of the gauge algebra (11). Thus, all the constraints $G_{I}$ of initial formulation turn out to be infinitesimal generators of the transformations in $\left(q^{A}, p_{A}\right)$-subspaces of the phase space.
Symmetries of the extended Lagrangian action. Let us demonstrate that it implies invariance of the Lagrangian action $S_{\tilde{L}}=\int \mathrm{d} \tau \tilde{L}$ under the transformations
$\delta_{I} q^{A}=\left.\epsilon^{I}\left\{q^{A}, G_{I}\right\}\right|_{p \rightarrow \omega(q, \dot{q}, s)}, \quad \Leftrightarrow\left\{\begin{array}{l}\delta_{I} q^{\alpha}=\epsilon^{\alpha} \delta_{\alpha I}, \\ \delta_{I} q^{i}=\left.\epsilon^{I} \frac{\partial G_{I}}{\partial \tilde{p}_{i}}\right|_{p \rightarrow \omega(q, \dot{q}, s)} ;\end{array}\right.$
$\delta_{I} s^{a}=\left.\left(\dot{\epsilon}^{a} \delta_{a I}+\epsilon^{I} b_{I}{ }^{a}-s^{b} \epsilon^{I} c_{b I}{ }^{a}-\dot{q}^{\beta} \epsilon^{I} c_{\beta I}{ }^{a}\right)\right|_{p \rightarrow \omega(q, \dot{q}, s)}$.
First one notes that variation of $\tilde{L}$ of equation (20) under arbitrary transformation $\delta q^{A}, \delta s^{a}$ can be presented in the form

$$
\begin{align*}
\delta \tilde{L}=-\dot{\omega}_{i} \delta q^{i} & -\dot{f}_{\alpha} \delta q^{\alpha}+\left.\dot{q}^{\alpha} \frac{\partial f_{\alpha}}{\partial q^{A}}\right|_{\omega(q, \dot{q}, s)} \delta q^{A}-\left.\frac{\partial H_{0}}{\partial q^{A}}\right|_{\omega(q, \dot{q}, s)} \delta q^{A} \\
& -\delta s^{a} T_{a}-\left.s^{a} \frac{\partial T_{a}}{\partial q^{A}}\right|_{\omega(q, \dot{q}, s)} \delta q^{A} . \tag{31}
\end{align*}
$$

We have omitted the term $\left.\frac{\partial \tilde{L}}{\partial \omega_{i}}\right|_{\omega(q, \dot{q}, s)} \delta \omega_{i}$, the latter is zero as a consequence of the identity (21). To see that $\delta \tilde{L}$ is a total derivative, we add the expression $-\left.\left.\epsilon^{I} \frac{\partial \tilde{L}}{\partial \omega_{i}}\right|_{\omega(q, \dot{q}, s)} \frac{\partial G_{I}}{\partial q^{i}}\right|_{\tilde{p} \rightarrow \omega} \equiv 0$ to the right-hand side of equation (31). With $\delta q^{A}$ given by equation (30), one obtains after some algebra

$$
\begin{aligned}
\delta \tilde{L}=\left[-\dot{T}_{a} \epsilon^{a}\right. & +\dot{q}^{\alpha} \epsilon^{\beta}\left(\frac{\partial f_{\alpha}}{\partial q^{\beta}}-\frac{\partial f_{\beta}}{\partial q^{\alpha}}-\left(\frac{\partial f_{\alpha}}{\partial q^{i}} \frac{\partial f_{\beta}}{\partial \tilde{p}_{i}}-\alpha \leftrightarrow \beta\right)\right) \\
& -\left(s^{a} \epsilon^{\alpha}-\dot{q}^{\alpha} \epsilon^{a}\right)\left(\frac{\partial T_{a}}{\partial q^{\alpha}}+\left(\frac{\partial f_{\alpha}}{\partial q^{i}} \frac{\partial T_{a}}{\partial \tilde{p}_{i}}-f \leftrightarrow T\right)\right) \\
& -\epsilon^{\alpha}\left(\frac{\partial H_{0}}{\partial q^{\alpha}}-\left(\frac{\partial H_{0}}{\partial q^{i}} \frac{\partial f_{\alpha}}{\partial \tilde{p}_{i}}-H_{0} \leftrightarrow f\right)\right) \\
& \left.-\epsilon^{a}\left(\frac{\partial H_{0}}{\partial q^{i}} \frac{\partial T_{a}}{\partial \tilde{p}_{i}}-H_{0} \leftrightarrow T\right)-s^{a} \epsilon^{b}\left(\frac{\partial T_{a}}{\partial q^{i}} \frac{\partial T_{b}}{\partial \tilde{p}_{i}}-a \leftrightarrow b\right)-\delta s^{a} T_{a}\right]\left.\right|_{p \rightarrow \omega} \\
\equiv & {\left[\dot{\epsilon}^{a} T_{a}-\dot{q}^{\alpha} \epsilon^{\beta}\left\{\Phi_{\alpha}, \Phi_{\beta}\right\}+\left(s^{a} \epsilon^{\alpha}-\dot{q}^{\alpha} \epsilon^{a}\right)\left\{\Phi_{\alpha}, T_{a}\right\}\right.}
\end{aligned}
$$

[^0]\[

$$
\begin{align*}
& \left.-\epsilon^{\alpha}\left\{H_{0}, \Phi_{\alpha}\right\}-\epsilon^{a}\left\{H_{0}, T_{a}\right\}-s^{a} \epsilon^{b}\left\{T_{a}, T_{b}\right\}-\delta s^{a} T_{a}\right]\left.\right|_{p \rightarrow \omega}, \\
= & {\left.\left[\left(\dot{\epsilon}^{a}-\dot{q}^{\alpha} \epsilon^{I} c_{\alpha I}^{a}+\epsilon^{I} b_{I}^{a}-s^{b} \epsilon^{I} c_{b I}^{a}-\delta s^{a}\right) T_{a}\right]\right|_{\tilde{p} \rightarrow \omega} } \tag{32}
\end{align*}
$$
\]

Then the variation of $s^{a}$ given by equation (30) implies $\delta \tilde{L}=$ div, as it has been stated.
It is interesting to discuss the special case, when the initial Lagrangian has at most secondary first-class constraints. Then the extended Lagrangian has the same structure. Nevertheless, namely for $\tilde{L}$ the local symmetries can be found in the closed form (30).

Symmetries of the initial action. Let us consider a combination of the symmetries (30): $\delta \equiv \sum_{I} \delta_{I}$, which obeys: $\delta s^{a}=0$, for all $s^{a}$. The Lagrangian $\tilde{L}(q, s)$ will be invariant under this symmetry for any fixed value of $s^{a}$, in particular, for $s^{a}=0$. But owing to equations (22), (18), (5), the reconstructed Lagrangian coincides with the initial one for $s^{a}=0: \tilde{L}(q, 0)=L(q)$. So the initial action will be invariant under any transformation

$$
\begin{equation*}
\delta q^{A}=\left.\sum_{I} \delta_{I} q^{A}\right|_{s=0} \tag{33}
\end{equation*}
$$

which obeys to the system $\left.\delta s^{a}\right|_{s=0}$, that is

$$
\begin{equation*}
\dot{\epsilon}^{a}+\epsilon^{I} b_{I}^{a}-v^{\beta} \epsilon^{I} c_{\beta I}^{a}=0, \quad a=1,2, \ldots,[a] \tag{34}
\end{equation*}
$$

One has $[a]$ equations for $[\alpha]+[a]$ variables $\epsilon^{I}$. Similarly to [3], the equations can be solved by pure algebraic methods, which give some $[a]$ of $\epsilon$ in terms of the remaining $\epsilon$ and their derivatives of the order less than $N$. It allows one to find $[\alpha]$ local symmetries of $L$. As it was already mentioned, the problem here is to prove the completeness and the irreducibility of the set.

Example. As an illustration, we look for local symmetries of a theory with fourth-stage constraints presented in the initial formulation. Let us consider the Lagrangian

$$
\begin{equation*}
L=\frac{1}{2}(\dot{x})^{2}+\xi(x)^{2} \tag{35}
\end{equation*}
$$

where $x^{\mu}(\tau), \xi(\tau)$ are the configuration space variables, $\mu=0,1, \ldots, n,(x)^{2} \equiv \eta_{\mu \nu} x^{\mu} x^{\nu}$, $\eta_{\mu \nu}=(-,+, \ldots,+)$. The theory is manifestly invariant under global transformations of $S O(1, n-1)$-group. As it will be seen, our procedure preserves the invariance.

Denoting the conjugate momenta for $x^{\mu}, \xi$ as $p_{\mu}, p_{\xi}$, respectively, one obtains the complete Hamiltonian

$$
\begin{equation*}
H_{0}=\frac{1}{2} p^{2}-\xi(x)^{2}+v_{\xi} p_{\xi} \tag{36}
\end{equation*}
$$

where $v_{\xi}$ is the multiplier for the primary constraint $p_{\xi}=0$. The complete system of constraints turns out to be
$\Phi_{1} \equiv p_{\xi}=0, \quad T_{2} \equiv x^{2}=0, \quad T_{3} \equiv x p=0, \quad T_{4} \equiv p^{2}=0$.
For the case, the variable $\xi$ plays the role of $q^{\alpha}$, while $x^{\mu}$ play the role of $q^{i}$. The constraints obey to the gauge algebra (11), with non-vanishing coefficient functions being
$c_{23}^{2}=-c_{32}^{2}=2, \quad c_{24}^{3}=-c_{42}^{3}=4, \quad c_{34}^{4}=-c_{43}^{4}=2 ;$
$b_{1}^{2}=1, \quad b_{2}^{3}=2, \quad b_{3}^{4}=1, \quad b_{3}{ }^{3}=2 \xi, \quad b_{4}^{3}=4 \xi$.
Equations (13) and (16) acquire the form

$$
\begin{align*}
\tilde{H} & =\frac{1}{2}\left(1+2 s^{4}\right) \tilde{p}^{2}-\xi x^{2}+s^{2}(x)^{2}+s^{3}(x p)+v_{\xi} p_{\xi}+v^{a} \pi_{a}  \tag{39}\\
\tilde{p}^{\mu} & =\frac{\dot{x}^{\mu}-s^{3} x^{\mu}}{1+2 s^{4}} \tag{40}
\end{align*}
$$

Using these equations, one writes the reconstructed Lagrangian (20)

$$
\begin{equation*}
\tilde{L}=\frac{1}{2\left(1+2 s^{4}\right)}\left(\dot{x}^{\mu}-s^{3} x^{\mu}\right)^{2}+\left(\xi-s^{2}\right)\left(x^{\mu}\right)^{2} . \tag{41}
\end{equation*}
$$

It suggests the following redefinition of variables: $1+2 s^{3} \equiv e, \xi-s^{2} \equiv \xi_{1}$, then the previous expression can be written in the form

$$
\begin{equation*}
\tilde{L}\left(e, \xi_{1}\right)=\frac{1}{2 e}\left(\dot{x}^{\mu}-s^{3} x^{\mu}\right)^{2}+\xi_{1}\left(x^{\mu}\right)^{2} . \tag{42}
\end{equation*}
$$

Note that the reconstructed Lagrangians (41) and (42) remain invariant under $S O(1, n-1)$ global transformations.

The Lagrangian (41) implies four primary constraints $p_{\xi}=0, \pi_{a}=0$, and the secondary constraints $T_{a}$ from equation (37). The corresponding complete Hamiltonian is given by equation (39). It has four irreducible local symmetries, the corresponding parameters are denoted as $\epsilon^{1}, \epsilon^{2}, \epsilon^{3}, \epsilon^{4}$. By using of equations (29), (38), manifest form of the symmetries can be written immediately as follows (we have omitted the variations $\delta_{i} v^{a}=\left(\delta_{i} s^{a}\right) \cdot$ ):
$\delta_{1} \xi=\epsilon^{1}, \quad \delta_{1} s^{2}=\epsilon^{1}, \quad \delta_{1} v_{\xi}=\dot{\epsilon}^{1}$,
$\delta_{2} \tilde{p}^{\mu}=-2 \epsilon^{2} x^{\mu}, \quad \delta_{2} s^{2}=\dot{\epsilon}^{2}+2 \epsilon^{2} s^{3}, \quad \delta_{2} s^{3}=2 \epsilon^{2}\left(1+2 s^{4}\right) ;$
$\delta_{3} x^{\mu}=\epsilon^{3} x^{\mu}, \quad \delta_{3} \tilde{p}^{\mu}=-\epsilon^{3} \tilde{p}^{\mu}$,
$\delta_{3} s^{2}=2 \epsilon^{3}\left(\xi-s^{2}\right), \quad \delta_{3} s^{3}=\dot{\epsilon}^{3}, \quad \delta_{3} s^{4}=\epsilon^{3}\left(1+2 s^{4}\right) ;$
$\delta_{4} x^{\mu}=2 \epsilon^{4} \tilde{p}^{\mu}, \quad \delta_{4} s^{3}=4 \epsilon^{4}\left(\xi-s^{2}\right), \quad \delta_{4} s^{4}=\dot{\epsilon}^{4}-2 \epsilon^{4} s^{3}$.
The corresponding symmetries for $\tilde{L}$ are obtained according to equation (30):
$\delta_{1} \xi=\epsilon^{1}, \quad \delta_{1} s^{2}=\epsilon^{1} ;$
$\delta_{2} s^{2}=\dot{\epsilon}^{2}+2 \epsilon^{2} s^{3}, \quad \delta_{2} s^{3}=2 \epsilon^{2}\left(1+2 s^{4}\right) ;$
$\delta_{3} x^{\mu}=\epsilon^{3} x^{\mu}, \quad \delta_{3} s^{2}=2 \epsilon^{3}\left(\xi-s^{2}\right), \quad \delta_{3} s^{3}=\dot{\epsilon}^{3}, \quad \delta_{3} s^{4}=\epsilon^{3}\left(1+2 s^{4}\right) ;$
$\delta_{4} x^{\mu}=2 \epsilon^{4} \frac{\dot{x}^{\mu}-s^{3} x^{\mu}}{1+2 s^{4}}, \quad \delta_{4} s^{3}=4 \epsilon^{4}\left(\xi-s^{2}\right), \quad \delta_{4} s^{4}=\dot{\epsilon}^{4}-2 \epsilon^{4} s^{3}$.
From these expressions one can write also the symmetries for $L\left(e, \xi_{1}\right)$ of equation (42). The symmetry (47) disappears, since $L\left(e, \xi_{1}\right)$ is constructed from its gauge invariant variables. The remaining symmetries acquire the form
$\delta_{2} \xi_{1}=-\dot{\epsilon}^{2}-2 \epsilon^{2} s^{3}, \quad \delta_{2} s^{3}=2 e \epsilon^{2} ;$
$\delta_{3} x^{\mu}=\epsilon^{3} x^{\mu}, \quad \delta_{3} \xi_{1}=-2 \epsilon^{3} \xi_{1}, \quad \delta_{3} s^{3}=\dot{\epsilon}^{3}, \quad \delta_{3} e=2 \epsilon^{3} e ;$
$\delta_{4} x^{\mu}=\frac{2 \epsilon^{4}}{e}\left(\dot{x}^{\mu}-s^{3} x^{\mu}\right), \quad \delta_{4} s^{3}=4 \epsilon^{4} \xi_{1}, \quad \delta_{4} s^{4}=\dot{\epsilon}^{4}-2 \epsilon^{4} s^{3}$.
$\delta_{4}$ symmetry can be replaced by the combination $\delta_{\epsilon} \equiv \delta\left(\epsilon^{4}=\frac{1}{2} \epsilon e\right)+\delta\left(\epsilon^{3}=\epsilon s^{3}\right)+\delta\left(\epsilon^{2}=\right.$ $-\epsilon \xi_{1}$ ), the latter has a more simple form as compared to (53)
$\delta_{\epsilon} x^{\mu}=\epsilon \dot{x}^{\mu}, \quad \delta_{\epsilon} \xi_{1}=\left(\epsilon \xi_{1}\right)^{\prime}, \quad \delta_{\epsilon} s^{3}=\left(\epsilon s^{3}\right)^{\prime}, \quad \delta_{\epsilon} e=(\epsilon e)^{\prime}$,
and represents reparameterization invariance. As independent symmetries of $L\left(e, \xi_{1}\right)$, one can take either equations (51)-(53), or equations (51), (52), (54).

Since the initial Lagrangian $L$ implies unique chain of four first-class constraints, one expects one local symmetry of ${ }_{\epsilon}^{(3)}$-type [4]. It can be found according to defining equations (34), for the case

$$
\begin{array}{r}
\epsilon^{1}+\dot{\epsilon}^{2}+2 \epsilon^{3} \xi=0 \\
2 \epsilon^{2}+\dot{\epsilon}^{3}+4 \epsilon^{4} \xi=0  \tag{55}\\
\epsilon^{3}+\dot{\epsilon}^{4}=0
\end{array}
$$

It allows one to find $\epsilon^{1}, \epsilon^{2}, \epsilon^{3}$ in terms of $\epsilon^{4} \equiv \epsilon: \epsilon^{1}=-\frac{1}{2} \frac{(3)}{\epsilon}+4 \dot{\epsilon} \xi+2 \epsilon \dot{\xi}, \epsilon^{2}=\frac{1}{2} \ddot{\epsilon}-2 \epsilon \xi$, $\epsilon^{3}=-\dot{\epsilon}$. Then equation (33) gives the local symmetry of the Lagrangian (35)

$$
\begin{equation*}
\delta x^{\mu}=-\dot{\epsilon} x^{\mu}+2 \epsilon \dot{x}^{\mu}, \quad \delta \xi=-\frac{1}{2} \stackrel{(3)}{\epsilon}+4 \dot{\epsilon} \xi+2 \epsilon \dot{\xi} \tag{56}
\end{equation*}
$$

In resume, in this work we have presented a relatively simple way for finding the complete irreducible set of local symmetries in the Lagrangian theory with first-class constraints. Instead of looking for the symmetries of the initial Lagrangian $L$, one can construct an equivalent Lagrangian $\tilde{L}$, given by equation (22), with at most secondary first-class constraints. Local symmetries of $\tilde{L}$ can be immediately written down according to equation (30). To conclude, we point out that in a recent work [10], it was demonstrated that the primary constraints, while convenient, turn out to be not necessary for the Hamiltonization procedure. So, one can say that for the theory with first-class constraints there exists a formulation with secondary first-class constraints.

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[^0]:    5 Transformation law for $v^{\alpha}$ turns out to be $\delta v^{\alpha}=\dot{\epsilon}^{\alpha}+\epsilon^{I} b_{I}{ }^{\alpha}-s^{b} \epsilon^{I} c_{b I}{ }^{\alpha}-v^{\beta} \epsilon^{I} c_{\beta I}{ }^{\alpha}$, but the last three terms vanish, see end of section 2 .

